



# LENDERS COMPLIANCE GROUP®

*Creating a Culture of Compliance®*

Odile-Shaw-Johnson, CIA, CRMA  
Compliance Manager  
Internal Audits & Regulatory Compliance

## Professional Background: Prominent Highlights

- Internal Audit Manager
  - Plan and manage operational, financial, regulatory, and compliance reviews/audits for companies focused on financial services.
  - Evaluate and provide recommendations for improving risk management, control, and governance.
  - Manage complex audits and perform project management duties, including planning, scheduling, coordinating, reviewing, and reporting on the work of audit teams, as well as post-audit follow-up.
  - Develop procedures and programs for achieving audit objectives and goals.
  - Assist in the development of annual audit plan and Audit Committee reports.
  - Manage a team of four (4) audit staff members.
  - Coach and develop team members to ensure the achievement of Key Performance Indicators and targets.
  
- Internal Auditor/AVP
  - Perform audits, ad hoc/special projects, and Sarbanes Oxley (SOX) testing of various processes and departments of a retail private bank for financial and operational functions.
  - Prepare metric reports for Board Audit Committee meetings. Develop and execute a monthly quality control assurance program for portfolio and sellable residential mortgage loans.
  - Manage and perform servicing quality control for servicers and master servicers, including mortgage servicing policies and procedures.
  - Conduct risk assessments of departments and processes to develop audit approaches, plan and develop audit programs, and execute and operate as team lead on audits.
  - Identify internal control gaps and weaknesses and recommend process changes to improve efficiency or eliminate redundancies.

- Senior Internal Auditor/AVP
  - Conducted risk assessments of departments, functions, and processes to develop an appropriate audit approach.
  - Identified internal control gaps and weaknesses and recommended process changes to improve efficiency or eliminate redundancies.
  - Developed business relationships with the management of assigned departments within the organization.
  - Identified, documented, and monitored internal controls and processes to facilitate audit testing.
  - Conducted enterprise-wide risk and internal control analyses and testing to comply with FDIC and HAMP government regulations.
  - Planned and developed audit programs, executed and operated as team lead on audits.
  - Conducted multiple audits and special projects simultaneously within budgeted hours.

#### Additional Credentials

- CIA  
Certified Internal Auditor  
Institute of Internal Auditors
- CRMA  
Certification in Risk Management Assurance  
Institute of Internal Auditors