

**POLICIES  
&  
PROCEDURES  
TUNE-UP!™**

Policy & Procedures relating to residential mortgage loan originations and servicing for banks and nonbanks.

Our policies review includes retail, wholesale, reverse, and servicing platforms.

Our professionals have an average of 25 years in the residential mortgage origination industry, consisting of compliance professionals, attorneys, former regulators, and subject matter experts, who have created and implemented successful compliance programs. We are actively engaged in monitoring ever-changing laws and regulations that affect the industry.

We are the first, full-service mortgage risk management firm in the country.



**LENDERS COMPLIANCE GROUP**

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# LENDERS COMPLIANCE GROUP

## POLICIES TUNE-UP!™

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*Pioneers in Compliance Tune-ups!*

### Deep Dive Review

Our professionals have the unique expertise to provide a comprehensive review of a financial institution's existing compliance policies and procedures involved in residential mortgage originations and servicing.

#### Policy Tune-up!™

Review Existing Policies  
Deficiencies Report  
Gap Analysis Report  
Quick Turn-Time  
Secure Extranet  
Entrance & Exit Discussions  
Deep Discounts on New Policies  
Dedicated Subject Matter Experts

#### Policy Review Criteria

1. Evaluate the quality of an entity's policies and procedures in the context of its size, complexity, and risk profile.
2. Identify textual contents relating to acts or practices that increase the risk of violations of federal consumer financial law in connection with mortgage origination.
3. Indicate deficiencies in existing policy documents.
4. Provide a gap analysis for policies and procedures based on statutory requirement or Best Practices.

#### Entities Subject to Review

- Acting as a broker;
- Acting as a correspondent;
- Lending through a retail system;
- Lending through a wholesale or correspondent system; or
- Using a combination of these strategies.